Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov

Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization/Lobbying Firm Caterpillar Inc	Self Employed Individual	
2. Address Address11425 K Street, N.W.	Address2Suite 400	
City Washington State	e <u>DC</u> Zip Code <u>20005</u>	Country <u>USA</u>
3. Principal place of business (if different than line	2)	
City Deerfield State	e IL Zip Code 60015	Country USA
4a. Contact Name b. Telephor Number Mr. Christopher J. Myers 202466067	c. E-maii	5. Senate ID# 8475-12
7. Client Name Self Check if client is a instrumentality Caterpillar Inc	a state or local government or	6. House ID# 313190000
REPORT Year 2019 O. Check if this filing amends a previously filed version 10. Check if this is a Termination Report Termination D	of this report 11. No Lobbyins	12/31)
INCOME OR EXPENSES - YOU	MUST complete either Line 1	2 or Line 13
12. Lobbying INCOME relating to lobbying activities for this reporting period was: Less than \$5,000	13. Organizations EXPENSE relating to lobbying activity reporting period were: Less than \$5,000	ies for this
\$5,000 or more \$	\$5,000 or more \$\ \bigs\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING Check box to indica accounting method. See instructions for options. Method A. Reporting amounts usin	r description of
	Method B. Reporting amounts unde 6033(b)(8) of the Internal Revenue Cod	

	Method C. Reporting amoun of the Internal Revenue Code	ts under se	ection 162(e)
Signature Digitally Signed By: Christopher J. Myers		Date	7/22/2019 6:06:40 PM

registrant engaged		e client during t	to reflect the general issue areas in which the reporting period. Using a separate page for as needed.	
15. General issue a	urea code HCR			
16. Specific lobbyi	ng issues			
S. 684, H.R. 748,	'Middle Class Health Benef	fits Tax Repeal A	Act," (entire bill)	
General issues rela	nting to employer sponsored	l health insuranc	ee program (no bill number)	
S. 1895, Lower He Transparency in H		I - Ending Surp	rise Medical Bills, and Title III - Improving	
17. House(s) of Co	ongress and Federal agencie	es Check if N	Vone	
U.S. SENATE, U.	S. HOUSE OF REPRESEN	TATIVES		
18. Name of each	individual who acted as a lo	obbyist in this is:	sue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Kai	Hirabayashi			
19. Interest of each	n foreign entity in the specif	ic issues listed o	on line 16 above Check if None	

n lobbying on behalf of th	e client during	he reporting period. Using a separate page f	
rea code LBR			
ng issues			
s relating to labor relations	s issues (no bill	number)	
ngress and Federal agencie	es Check if I	None	
. HOUSE OF REPRESEN	VTATIVES		
ndividual who acted as a lo	obbyist in this is	sue area	
Last Name	Suffix	Covered Official Position (if applicable)	New
Hirabayashi			
foreign entity in the speci	fic issues listed	on line 16 above Check if None	
	n lobbying on behalf of the mation as requested. Add a rea code LBR ag issues a relating to labor relations agress and Federal agencies. HOUSE OF REPRESENT adividual who acted as a local Last Name Hirabayashi	n lobbying on behalf of the client during to mation as requested. Add additional page (rea code LBR) ng issues s relating to labor relations issues (no bill ingress and Federal agencies Check if Ingress and Ing	In a serious s

registrant engaged in	•	e client during t	to reflect the general issue areas in which the he reporting period. Using a separate page for s) as needed.	
15. General issue are	a code TAX			
16. Specific lobbying	issues			
H.R. 1 - Tax Cuts and	d Jobs Act (entire bill) -	P.L.115-97 - Re	implementation	
Education regarding	CFC Look-through exter	nsion (no bill nu	mber)	
General discussions	related to global efforts r	egarding digital	services taxation	
17. House(s) of Cong	gress and Federal agencie	es Check if I	None	
U.S. SENATE, U.S.	HOUSE OF REPRESEN	TATIVES, Trea	sury - Dept of	
18. Name of each inc	lividual who acted as a lo	obbyist in this is	sue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays			
19. Interest of each for	oreign entity in the speci	fic issues listed	on line 16 above Check if None	

registrant engaged	•	e client during	y to reflect the general issue areas in which the reporting period. Using a separate page f (s) as needed.	
15. General issue	area code RET			
16. Specific lobby	ing issues			
General discussion	ns relating to pension and re	etirement issues	(no bill number)	
17. House(s) of Co	ongress and Federal agencie	es Check if	None	
U.S. HOUSE OF	REPRESENTATIVES, U.S	. SENATE		
18. Name of each	individual who acted as a lo	obbyist in this is	ssue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Kai	Hirabayshi			
19. Interest of each	h foreign entity in the specif	fic issues listed	on line 16 above Check if None	

registrant engaged i	-	ne client during t	y to reflect the general issue areas in which the reporting period. Using a separate page for s) as needed.	
15. General issue ar	rea code EDU			
16. Specific lobbyir	ng issues			
General discussions	s relating to workforce dev	velopment and to	raining issues (no bill number)	
Higher Education A	Act reauthorization (provis	sions related Pel	Grants) (no bill number)	
17. House(s) of Cor	ngress and Federal agencie	es Check if I	None	
U.S. HOUSE OF R	EPRESENTATIVES, U.S	S. SENATE		
18. Name of each in	ndividual who acted as a lo	obbyist in this is	sue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Kai	Hirabayashi			
19. Interest of each	foreign entity in the speci	fic issues listed	on line 16 above Check if None	

0 0	in lobbying on behalf of the mation as requested. Add a	_	he reporting period. Using a separate page for s) as needed.	or each
15. General issue a	rea code FIN			
16. Specific lobbying	ng issues			
Nominations for the	e Export Import Bank Boar	d of Directors (no bill number)	
Reauthorization of	the Export Import Bank (no	o bill number)		
H.R. 3407, the Uni	ted States Export Finance A	Agency Act of 2	019 (entire bill)	
17. House(s) of Co	ngress and Federal agencies	S Check if N	Vone	
	ecutive Office of the Preside States (EXIM Bank)	ent (EOP), U.S.	HOUSE OF REPRESENTATIVES, Export	t-Import
18. Name of each in	ndividual who acted as a lo	bbyist in this iss	sue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays			
Christopher	Myers			
19. Interest of each	foreign entity in the specifi	c issues listed o	on line 16 above 📝 Check if None	

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the

registrant engaged	-	the client during t	to reflect the general issue areas in which the reporting period. Using a separate page for some seeded.	
15. General issue a	•	additional page(s) as needed.	
16. Specific lobbyi	ng issues			
General discussion	s related to trade policy (no bill number)		
General discussion	s related to NAFTA Rene	egotiation/ U.S. M	lexico Canada Agreement (no bill number)	
Education efforts r	egarding Department of C	Commerce 232 ca	se re: Steel Imports (No bill number)	
Education efforts c number)	oncerning Department of	Commerce 232 of	case re: Auto and Auto Parts Imports (No bil	1
Education regardin	g China/301 Tariffs (no b	oill number)		
U.S. SENATE, U.S. Dept of (DOC), U.		NTATIVES, Exe USTR), Office of	cutive Office of the President (EOP), Comme f the Vice President of the United States	erce -
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays			
Christopher	Myers			
Kai	Hirabayashi			
19. Interest of each	foreign entity in the spec	rific issues listed of	on line 16 above Check if None	

registrant engaged	5	client during t	y to reflect the general issue areas in which the reporting period. Using a separate page f s) as needed.	
15. General issue a	area code CPT			
16. Specific lobbyi	ng issues			
Corporate patent re	eform (no bill number)			
H.R. 3666, STRO	NGER Patents Act (entire bi	ill)		
17. House(s) of Co	ongress and Federal agencies	s Check if I	None	
U.S. SENATE, U.S.	S. HOUSE OF REPRESENT	TATIVES		
18. Name of each i	individual who acted as a lob	bbyist in this is	sue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Kai	Hirabayashi			
19. Interest of each	n foreign entity in the specifi	c issues listed	on line 16 above Check if None	

n lobbying on behalf of th	ne client during t	he reporting period. Using a separate page f	
ea code NAT			
g issues			
re (no bill number)			
gress and Federal agencie	es Check if I	None	
HOUSE OF REPRESEN	NTATIVES		
dividual who acted as a lo	obbyist in this is	sue area	
Last Name	Suffix	Covered Official Position (if applicable)	New
Myers			
foreign entity in the speci	fic issues listed	on line 16 above Check if None	
	n lobbying on behalf of the nation as requested. Add ea code NAT g issues re (no bill number) gress and Federal agencies. HOUSE OF REPRESENT dividual who acted as a least Name Myers	n lobbying on behalf of the client during thation as requested. Add additional page (sea code NAT) g issues re (no bill number) gress and Federal agencies Check if Note that the company of the client during the code of the client during the code of the client during the code of the client during	g issues re (no bill number) gress and Federal agencies Check if None HOUSE OF REPRESENTATIVES dividual who acted as a lobbyist in this issue area Last Name Suffix Covered Official Position (if applicable)

registrant engaged in	•	e client during	y to reflect the general issue areas in which the reporting period. Using a separate page f (s) as needed.	
15. General issue are	ea code TRA			
16. Specific lobbyin	g issues			
Infrastructure, FAS	Γ Act reauthorization (no	bill number)		
17. House(s) of Con	gress and Federal agencie	es Check if	None	
U.S. SENATE, U.S.	HOUSE OF REPRESEN	TATIVES		
18. Name of each in	dividual who acted as a lo	bbyist in this is	ssue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Myers			
19. Interest of each	foreign entity in the specif	ic issues listed	on line 16 above 🕡 Check if None	

registrant engaged	3	he client during t	to reflect the general issue areas in which the reporting period. Using a separate page f s) as needed.	
15. General issue a	rea code BUD			
16. Specific lobbyi	ng issues			
	appropriations for the De sions Reduction Act fundi		nterior, environment and related agencies fo	or FY
17. House(s) of Co	ngress and Federal agenci	ies Check if I	None	
U.S. SENATE, U.S	S. HOUSE OF REPRESE	NTATIVES		
18. Name of each i	ndividual who acted as a l	lobbyist in this is	sue area	
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Myers			
19. Interest of each	foreign entity in the spec	ific issues listed o	on line 16 above Check if None	

registrant engaged	FIVITY. Select as man in lobbying on behalf or rmation as requested. A	of the client during	the reporting	g period. Using a sepa		
15. General issue a	area code ENG					
16. Specific lobby	ing issues					
S. 747/H.R. 1768	Diesel Emissions Redu	ction Act of 2019 (e	entire bill)			
17. House(s) of Co	ongress and Federal age	encies Check if	None			
U.S. SENATE, U.	S. HOUSE OF REPRE	SENTATIVES				
18. Name of each	individual who acted as	s a lobbyist in this is	ssue area			
First Name	Last Name	Suffix	Covered	Official Position (if a	pplicable)	New
Christopher	Myers					
19. Interest of each	n foreign entity in the sp	pecific issues listed	on line 16 al	bove Check if No	one	
Information Upd	ate Page - Complete C	ONLY where regist	ration infor	mation has changed	· · · · · · · · · · · · · · · · · · ·	
20. Client new add	•	S		o .		
∆ ddress						
City		State	 >	Zip Code	Country	7
21. Client new prin	ncipal place of business	s (if different than li	ne 20)			
•	1 1	,	·	Zin Code	Country	I
			·			
22. New General of	lescription of client's bu	usiness or activities				
LOBBYIST	UPDATE					
23. Name of each	previously reported ind	ividual who is no lo	onger expect	ed to act as a lobbyis	t for the clier	nt
First Name	Last Name	Suffix F	First Name	Last Name		Suffix
ISSUE UPDA	A1E					
24. General lobbyi	ing issue that no longer	pertains				

AFFILIATED ORGANIZATIONS 25. Add the following affiliated organization(s) Internet Address: Address Street Principal Place of Business Name Address (city and state or country) City State/ProvincZip Country City State Country 26. Name of each previously reported organization that is no longer affiliated with the registrant or client 2 1 3 **FOREIGN ENTITIES** 27. Add the following foreign entities: Address Amount of Ownership Principal place of business contribution for Street Address Name percentage (city and state or country) lobbying in client City State/Provinc@ountry activities City % State Country 28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization CONVICTIONS DISCLOSURE 29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense

involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)